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CENTRAL FAX CENTER**AUG 17 2007****FACSIMILE COVER SHEET**

DATE: August 17, 2007

TO: Examiner Thu Thao Havan
TC Art Unit: 3691

Fax No.: (571) 273 8300

FROM: Beverly E. Hjorth

No. of pages transmitted
(including this page): 20

Our File: TRD-001XX

Time:

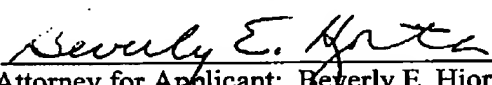
Your Ref:

Sent by: Debbi

Application No. 09/587,619

Filed Date: June 5, 2000

Confirmation No.: 8863

A confirmation copy of this transmission will not be mailed unless the following is checked: []
MESSAGEPLEASE DELIVER DIRECTLY TO:
EXAMINER THU THAO HAVAN, TEL. (571) 272 8111
TC ART UNIT NO: 3691**FOR ENTRY**Enclosed for filing please find a: Transmittal Sheet and Amendment; Statement of
Substance of InterviewThe Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional
filing fees associated with this communication or credit any overpayment.

Attorney for Applicant: Beverly E. Hjorth
Registration No. 32,033

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**THIS MESSAGE MAY CONTAIN CONFIDENTIAL OR PRIVILEGED INFORMATION INTENDED ONLY
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Application No.: 09/587,619
 Filed: June 5, 2000
 TC Art Unit: 3691
 Confirmation No.: 8863

Rev 9/06

WEINGARTEN, SCHURGIN, GAGNEBIN & LEOVICI LLP
 Ten Post Office Square
 Boston, Massachusetts 02109
 Telephone: (617) 542-2290
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AUG 17 2007

Via Facsimile

COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, VA 22313-1450

Date: August 17, 2007

Attorney
 Docket No.: TRD-001XX

Sir:

In re application of: **James P. Coppola II**

Entitled: **PORTFOLIO ACCOUNTING AND RISK MANAGEMENT SYSTEM**

Transmitted herewith is an amendment in the above-identified application. The following checked items are applicable:

- ☐ This is a Request for Continued Examination under §1.114; authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$) per §1.17(e).
☐ Enter the unentered amendment previously filed on per §1.116.

☒ Small Entity Status is asserted.

☐ A Petition for Extension of Time for month is hereby made under §1.136(a); authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$) per §1.17.

☒ In the event a Petition for Extension of Time is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.


☒ Other: Statement of Substance of Interview

CLAIMS AFTER AMENDMENT:	MINUS PRIOR PAID CLAIMS:	EQUALS PRESENT EXTRA CLAIMS:	RATE:	ADDITIONAL FEE:
Independent	5 - 5	= 0	x \$200.00 =	0
Total	21 - 21	= 0	x \$ 50.00 =	0
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$360.00 =	0
SUBTOTAL ADDITIONAL FEE				0
Small Entity filing, divide by 2. Small Entity status must be asserted.				0
TOTAL ADDITIONAL FEE				0

☒ No additional fee. ☐ The fee has been calculated above; authorization is provided herewith to charge Deposit Account No. 23-0804 (\$) for the cost of same.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees under §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

I hereby certify that this correspondence is being sent via facsimile to Examiner Thu Thao Havan, TC Art Unit 3691, Fax No. (571) 273 8300, on August 17, 2007


 Attorney of Record: Beverly E. Bjorth
 Registration No.: 32,033

BEH/dkh/355888.1

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AUG 17 2007

PATENT

Rev 02/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : James P. Coppola III
Application No. : 09/587,619
Filed : June 5, 2000
Confirmation No. : 8863
For : PORTFOLIO ACCOUNTING AND RISK MANAGEMENT
SYSTEM
Examiner : Thu Thao Havan
Attorney's Docket : TRD-001XX

TC Art Unit: 3691

I hereby certify that this correspondence is being sent via
facsimile to Examiner Thu Thao Havan, TC Art Unit 3691, Fax No.
(571) 273-8300, on August 17, 2007

By: Beverly E. North
Beverly E. North
Registration No. 32,033
Attorney for Applicant

STATEMENT OF SUBSTANCE OF INTERVIEW

Via Facsimile
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Interview Summary form dated August 9,
2007, Applicant submits the following statement of the substance
of the interview.

- A) No exhibit was shown or demonstration conducted.
B) Claim 1 was discussed.

WEINGARTEN, SCHURGIN,
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FAX. (617) 451-0313


Application No. 09/587,619
Filed: June 5, 2000
TC Art Unit: 3691
Confirmation No.: 8863

- C) Sandretto (US Pat. No. 5,812,988) and Rotella (US Pat. No. 4,677,933) were discussed.
- D) The principal proposed amendments are described on the Interview Summary form completed by the Examiner.
- E) The general thrust of the principal arguments is set forth more particularly in Applicant's Response filed concurrently herewith.
- F) No other pertinent matters were discussed.
- G) Applicant will submit a response with amendments to the claims as discussed.
- H) The interview was not conducted via electronic mail.

Respectfully submitted,

JAMES P. COPPOLA III

By:


Beverly E. Hjorth
Registration No. 32,033
Attorney for Applicant

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